

IFW

JUN 14 2004

**TRANSMITTAL LETTER**  
**(General - Patent Pending)**

Docket No.  
32984-01

In Re Application Of: **JAMES BRIAN BAGWELL**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/816,058	04/01/2004	UNKNOWN	000044443	UNKNOWN	UNKNOWN

Title: **COMPACTING METHOD AND APPARATUS**

COMMISSIONER FOR PATENTS:

Transmitted herewith is:

**TRANSMITTAL LETTER (1); INFORMATION DISCLOSURE STATEMENT (1); PTO-1449 (5);  
CERTIFICATE OF 1ST CLASS MAILING (4); COPIES OF 22 PATENTS; POSTCARD**

in the above identified application.

- ☒ No additional fee is required.
- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **080719**  
as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.


  
Signature

**SARA A CENTIONI (REG 50,543)**  
**NEXSEN PRUET ADAMS KLEEMEIER, LLC**  
**PO DRAWER 2426**  
**COLUMBIA, SC 29202-2426**  
**803-540-2111**  
**SCENTIONI@NEXSENPRUET.COM**

Dated:

June 8, 2004

I certify that this document and fee is being deposited  
on **6-9-04** with the U.S. Postal Service as  
first class mail under 37 C.F.R. 1.8 and is addressed to the  
Commissioner for Patents, P.O. Box 1450, Alexandria, VA  
22313-1450.

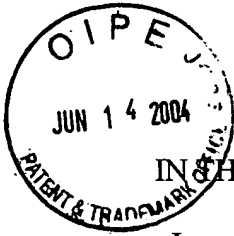


Signature of Person Mailing Correspondence

**SHARON HUTTO**

Typed or Printed Name of Person Mailing Correspondence

CC:



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re : James Brian Bagwell      Atty Docket : 32984-01  
Serial No.: 10/816,058      Examining Atty: Unknown  
Filing Date: April 1, 2004      Group Art: Unknown  
Title: Compacting Method and Apparatus

Mail Stop DD  
Assistant Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Listed on accompanying form PTO-1449 are a number of documents which may be considered relevant to the examination of this application. A copy of each of these documents is provided.

No attempt has been made to identify every relevant feature in the documents listed. The attached documents may contain relevant information other than that which caused them to be selected and may be of interest with respect to one or more features of the applicant's invention(s). Accordingly, the Examiner is urged to review the documents identified and to form his or her own conclusions regarding the relevance thereof.

It is believed that the attached documents do not disclose or make obvious applicant's invention(s). Accordingly, examination of the claims on the merits and allowance of the application are earnestly requested.

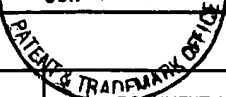
Date: June 8, 2004

SARA A. CENTIONI (Reg. 50,543)  
Attorney for Applicant  
Nexsen Pruet Jacobs & Pollard, LLC  
Post Office Drawer 2426  
Columbia, SC 29202-2426  
Phone: 803-540-2111  
E-Mail: [scentioni@nexsenpruet.com](mailto:scentioni@nexsenpruet.com)

# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

JUN 14 2004



ATTY DOCKET NO.

32984-1

APPLICATION NO.

10/816,058

APPLICANT(S)

JAMES BRIAN BAGWELL

FILING DATE

04/01/2004

GROUP ART UNIT

UNKNWON

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,061,933	05/16/00	Rogge	37/403		
	5,752,439	05/19/98	LaMora, Jr.	100/229		
	5,619,915	04/15/97	Wagner et al.	100/98		
	5,517,907	05/21/96	Fox	100/53		
	5,341,731	08/30/94	Grizzard et al.	100/100		

## U.S. PATENT APPLICATION PUBLICATIONS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>	ATTY DOCKET NO. <b>32984-1</b>	APPLICATION NO. <b>10/816,058</b>
	<b>JAMES BRIAN BAGWELL</b>	
	FILING <b>04/01/2004</b>	GROUP ART <b>UNKNWON</b>

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,193,453	03/16/93	Lundy	100/100		
	4,393,767	07/19/83	Dutfield	100/229		
	4,273,037	06/16/81	Ruebesam	100/91		
	4,007,678	02/15/77	Gustavsson et al.	100/52		
	4,004,703	01/25/77	Johnson, Jr.	214/82		

**U.S. PATENT APPLICATION PUBLICATIONS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**FOREIGN PATENT DOCUMENTS**

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
					YES	NO

**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*


<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
-----------------	------------------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>				ATTY DOCKET NO. <b>32984-1</b>		APPLICATION NO. <b>10/816,058</b>	
				JAMES BRIAN BAGWELL			
				FILING <b>04/01/2004</b>		GROUP ART <b>UNKWON</b>	

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		3,968,891	07/13/76	Perkins	214/83.3		
		3,935,812	02/03/76	Karls et al.	100/214		
		3,901,394	08/26/75	Bowles	214/82		
		3,211,309	10/12/65	Shubin	214/83.3		
		2,951,600	09/06/60	Dempster et al.	214/82		

U.S. PATENT APPLICATION PUBLICATIONS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>			

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
-----------------	------------------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>				ATTY DOCKET NO. <b>32984-1</b>		APPLICATION NO. <b>10/816,058</b>	
				JAMES BRIAN BAGWELL			
				FILING <b>04/01/2004</b>		GROUP ART <b>UNKNWON</b>	

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		2,800,234	07/23/57	Herpich et al.	214/82		
		2,646,225	07/21/53	Desco	241/273		
		2,605,697	08/05/52	Bell et al.	100/100		
		2,579,176	12/18/51	Dalton	100/56		
		818,796	04/24/06	Spengler			

U.S. PATENT APPLICATION PUBLICATIONS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>			

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>				ATTY DOCKET NO. <b>32984-1</b>		APPLICATION NO. <b>10/816,058</b>	
				JAMES BRIAN BAGWELL			
				FILING <b>04/01/2004</b>		GROUP ART <b>UNKWON</b>	

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		325,102	08/25/85	Marshall et al.			
		257,454	05/09/82	Alexander			

U.S. PATENT APPLICATION PUBLICATIONS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

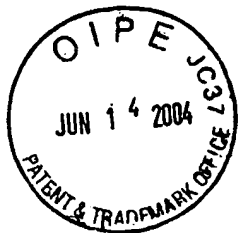
OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>			

EXAMINER	DATE CONSIDERED
----------	-----------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re : James Brian Bagwell Atty Docket : 32984-01  
Serial No.: 10/816,058 Examining Atty: Unknown  
Filing Date: April 1, 2004 Group Art: Unknown  
Title: Compacting Method and Apparatus

Certificate of First Class Mailing

I hereby certify that this Information Disclosure Statement and related documents is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop DD; Assistant Commissioner for Patents; PO Box 1450 ; Alexandria, VA 22313-1450 on 6-9-04.

Sharon Hutto



**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**Applicant(s): **JAMES BRIAN BAGWELL**

Docket No.

**32984-01**

Application No.

**10/816,058**

Filing Date

**04/01/2004**

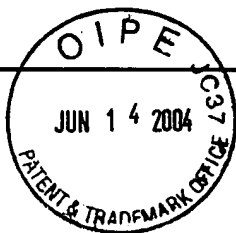
Examiner

**UNKNOWN**

Customer No.

**000044443**

Group Art Unit

**UNKNOWN**Invention: **COMPACTING METHOD AND APPARATUS**I hereby certify that this **INFORMATION DISCLOSURE STATEMENT & RELATED DOCUMENTS***(Identify type of correspondence)*

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

**6-9-04***(Date)***SHARON HUTTO***(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)***Note: Each paper must have its own certificate of mailing.**

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**Applicant(s): **JAMES BRIAN BAGWELL**

Docket No.

**32984-01**

Application No.

**10/816,058**

Filing Date

**04/01/2004**

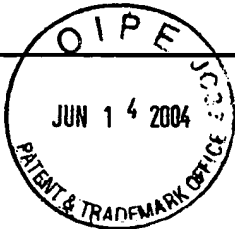
Examiner

**UNKNOWN**

Customer No.

**000044443**

Group Art Unit

**UNKNOWN**Invention: **COMPACTING METHOD AND APPARATUS**I hereby certify that this **PTO 1449 & RELATED DOCUMENTS***(Identify type of correspondence)*

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

**6-9-04***(Date)***SHARON HUTTO***(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)***Note: Each paper must have its own certificate of mailing.**

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**Applicant(s): **JAMES BRIAN BAGWELL**

Docket No.

**32984-01**

Application No.

**10/816,058**

Filing Date

**04/01/2004**

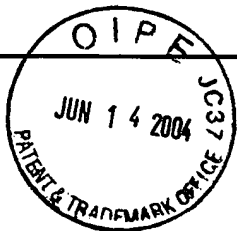
Examiner

**UNKNOWN**

Customer No.

**000044443**

Group Art Unit

**UNKNOWN**Invention: **COMPACTING METHOD AND APPARATUS**I hereby certify that this **COPIES OF 22 PATENTS***(Identify type of correspondence)*

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

**6-9-04***(Date)***SHARON HUTTO***(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)***Note: Each paper must have its own certificate of mailing.**